ENVIRONMENT & ECONOMY OVERVIEW & SCRUTINY COMMITTEE	

Date of Meeting	Tuesday, 14th January 2025
Report Subject	Risk Management - Corporate Risk Register Report
Cabinet Member	Cabinet Member for Economy, Environment and Climate Cabinet Member for Planning, Public Health and Public Protection Cabinet Member for Streetscene and Transportation
Report Author	Chief Officer (Planning, Environment and Economy) Chief Officer (Streetscene and Transportation)
Type of Report	Strategic

EXECUTIVE SUMMARY

Risk Management is the process of identifying and assessing risks, evaluating their potential consequence, and mitigating them to ensure priorities are achieved. The aim is to minimise the severity of their consequence and likelihood of occurring where possible. Overall Risk Management should:

- Create value to the organisation
- Be part of ensuring internal controls are effective
- Enable effective decision making
- Aid the delivery of actions / services

Flintshire County Council is responsible for delivering both statutory and non-statutory services to residents and business within Flintshire. To enable the Council to deliver these services effectively, the Council needs to consider a wide range of risks and opportunities in the decisions that are made at all levels across the Council, and these are recorded in the form of a risk register.

A Corporate Risk Register has been developed and is owned by the Chief Officer Team with a suggestion that the report will also be owned by Cabinet.

RECOMMENDATIONS	
1	To review the Council's Corporate Risk Register report, in particular risk RPE11 – Net Zero Carbon Goal and RST07 Increase in Residual Waste.

2	To be assured of the arrangements in place to manage risks RPE11 – Net Zero Carbon Goal and RST07 Increase in Residual Waste.

REPORT DETAILS

1.00	**EXPLAINING THE CORPORATE RISK REGISTER REPORT**
1.01	**What is a Corporate Risk Register?** A corporate risk register is used to record significant risks that could impact the strategic objectives and operations of an organisation. The corporate risk register identifies potential developments or occurrences which, were they to occur, would jeopardize the Council's ability to achieve its priorities, provide services as planned and fulfil its statutory duties. It is those risks which are deemed necessary to be managed at a corporate level, rather than at portfolio/service level. Flintshire County Council, Corporate Risk Report is owned by the Chief Officer Team. The Chief Officer Team have identified twelve key strategic risks to form part of the Corporate Risk Register. As this is a 'live' document frequent review will be undertaken and where there are changes this will be reported upon within the quarterly reports.
1.02	Since the last review and approval of the Risk Management Framework (the Framework) at Governance and Audit Committee in January 2024 much work has been undertaken over the last twelve months to embed the Framework: • The development of the Risk Management module within the Council's Performance and Risk Management System (InPhase). • A high level report to Chief Officer Team monthly that provides details of all risks across the Council, highlighting those risks that have deteriorated, any risks that have closed or any new risks, discussions regarding external / internal factors that may require escalation. • Monthly reports to the Chief Officer regarding risks within their Portfolio Risk Register. • Performance and Risk Management Team undertake an in-depth review of Portfolios Risk Registers once within a financial year. • Development of a 'Corporate Risk Register' with the Chief Officer Team, which provides details of significant risks that could impact the Council. • Development of a Risk Management E-learning module has been finalised and now available to all Officers, with a requirement that all Risk Owners / Supporting Officers and Senior Managers complete.
1.03	To continue to further embed risk management across the Council, the next steps will include (but not exhaustive): • An annual review of the Risk Management Framework is underway and will be presented to Governance and Audit Committee on 25th January 2025 for approval. • The Risk Management E-learning module to be made available to Members.

	• Cabinet Members to jointly own the Corporate Risk Register with the Chief Officer Team, having responsibility and ownership for specific risks, with a dedicated workshop to be arranged. • Quarterly reporting of the Corporate Risk Register to Cabinet and all Overview and Scrutiny Committees, with individual Overview and Scrutiny Committees challenging the details of risks specific to their scrutiny functions. • Performance and Risk Management Team will undertake an in-depth review of Portfolios Risk Registers again once within a financial year.
1.04	Future reporting regarding the Corporate Risk Register Report and in accordance with the Risk Management Framework will be presented: • Quarterly to Cabinet and Overview and Scrutiny Committees the months of January, April, July, and October (Should an Overview and Scrutiny Committee not be scheduled for those months, the Corporate Risk Register will be presented at the next scheduled date). • Bi-annually to Governance and Audit Committee the months of January and July.

1.05	**Explaining the Corporate Risk Register Report Format**
	
	The initial page of the Corporate Risk Register Report (Appendix A) provides a:
	
	• High-level overview of the number of risks (**12**)
	• The RAYG (Red, Amber, Yellow or Green) status of a risk at the point they were last reviewed (December 2024)
	• Risk Title and Description
	• Risk Type
	• Risk Scoring
	• Direction of Change
	
	The high-level overview also provides details on how many risks are:
	• **Above Target; 11**
	   o RCF01, RCF09, RCF18, REY01, RGV01, RHC09, RHR29, RHR30, RPE11, RSS54 and RST07
	• **Within Target; 1**
	   o RCF08
	• **Deteriorating; 1**
	   o RGV01 (this risk has deteriorated this month and is therefore, still above target)
	• **Improving; 1**
	   o RCF09 (although this risk is improving it is still above target score)
	• **New / Escalated Risks; 3**
	   o RHR29 Recruitment and Retention
	   o RHR30 Employment Related Costs
	   o RSS54 Care Provision Stability
	It was identified by the Chief Officer Team that the three new risks needed to be managed at a corporate level, rather than at portfolio/service level. The mitigating actions for RHR30 and RHR54 are in development.

1.06	The Corporate Risk Register Report (Appendix A) then proceeds to provide further details regarding each of the individual risks, and this includes:

	• Information regarding the key impacts should the risk occur • The risk category in accordance with PESTEL analysis • Risk type • Details of the owner • Comment at point of last review (December 2024) • Risk scoring and direction of change (up to last twelve months) • Detail of the internal controls and governance arrangements, • Key mitigating actions (where the due date column is blank, the mitigating action has been identified as an ongoing action).
1.07	The below table provides a key of the symbols and terminology meanings within the Corporate Risk Register Report. .

Risk Register Key		
↔ Risk remains the same	↑ Risk has reduced	↓ Risk has deteriorated
Inherent Risk Score Risk posed before actions taken	**Current Risk Score** Score following a review of actions in place	**Target Risk Score** Level of risk the Council aims to achieve

2.00	**RESOURCE IMPLICATIONS**
2.01	There are no specific resource implications for this report.

3.00	**CONSULTATIONS REQUIRED / CARRIED OUT**
3.01	Review of the Corporate Risk Register in accordance with the Risk Management Framework is undertaken with the Chief Officer Team, Overview and Scrutiny Committees, Governance and Audit Committee and Cabinet.

4.00	**RISK MANAGEMENT**
4.01	Review of Risk Registers and individual risks are undertaken in accordance with the Risk Management Framework, whereby consideration given to the impact of a risk and what mitigation actions / internal controls are in place to ensure the risks are being managed effectively across the Council. The Corporate Risk Report has been established to highlight the key risks impacting the Council at point of review in December 2024.

5.00	**APPENDICES**
5.01	Appendix A: Flintshire County Council Corporate Risk Register (December 2024) Appendix B – Risk Management Framework (January 2024)

6.00	LIST OF ACCESSIBLE BACKGROUND DOCUMENTS
6.01	[Risk Management Framework](#)

7.00	CONTACT OFFICER DETAILS
7.01	**Contact Officer:** Emma Heath (Strategic Performance Advisor) **Telephone:** 01352 702 744 **E-mail:** emma.heath@flintshire.gov.uk

8.00	GLOSSARY OF TERMS
8.01	**Risk Management -** The process of identifying risks, evaluating tier potential consequences and managing them. The aim is to reduce the frequency of risk events occurring (wherever this is possible) and minimise the severity of their consequences if they occur. Threats are managed by a process of controlling, transferring or retaining the risk. Opportunities are managed by identifying strategies to maximise the opportunity or reward for the organisation. **Risk Register -** A risk register forms part of the risk management tool and is used to analyse current and potential risks. A risk register is completed for each Portfolio. **PESTEL -** A method to identify a risk is using a PESTEL analysis. PESTEL analysis identifies and evaluates how Political, Economic, Social, Technological, Environmental and Legal factors could impact business operations.